



GP 2711
Docket No. UV-48

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael D. Ellis
Serial No. : 09/262,870
Filed: : March 4, 1999
For : PROGRAM GUIDE SYSTEM WITH
VIDEO-ON-DEMAND BROWSING
Group Art Unit : 2711
Examiner : Not Yet Assigned

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#2
Group 2700

New York, New York 10020
May 20, 1999

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

☒ [X] within three months of the application filing date;

☐ [] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.98, submission of this Statement requires no fee. However, if for any reason a fee is due, the Commissioner is hereby authorized to charge

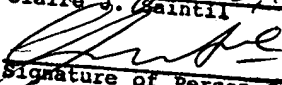
payment of any fees required in connection with this
Information Disclosure Statement to Deposit Account
No. 06-1075. A duplicate copy of this letter is transmitted
herewith.

Respectfully submitted,



Michael E. Shanahan
Registration No. 43,914
Agent for Applicant
c/o FISH & NEAVE
1251 Avenue Of The Americas
New York, New York 10020
Tel.: (212) 596-9000

I Hereby Certify that this
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WASHINGTON, D.C. 20231 on

May 20, 1995
Claire J. Saintil

Signature of Person Signing

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Hon. Assistant Commissioner
for Patents
Washington, DC. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following documents:

U.S. PATENTS

4,488,179	Krüger et al.	12/11/84
4,706,121	Young	11/10/87
4,751,578	Reiter et al.	6/14/88
4,787,063	Muguet	11/22/88
4,963,994	Levine	10/16/90
4,977,455	Young	12/11/90
5,027,400	Baji et al.	6/25/91
5,038,211	Hallenbeck	8/6/91
5,047,867	Strubbe et al.	9/10/91
5,151,789	Young	9/29/92
5,231,493	Apitz	7/27/93
5,253,066	Vogel	10/12/93
5,299,006	Kim	3/29/94
5,353,121	Young et al.	10/4/94
5,412,720	Hoarty	5/2/95
5,465,385	Ohga et al.	11/7/95
5,479,268	Young et al.	12/26/95

5,502,504	Marshall et al.	3/26/96
5,559,549	Hendricks et al.	9/24/96
5,589,892	Knee et al.	12/31/96
5,594,509	Florin et al.	1/14/97
5,600,364	Hendricks et al.	2/4/97
5,600,573	Hendricks et al.	2/4/97
5,659,350	Hendricks et al.	8/19/97
5,682,195	Hendricks et al.	10/28/97
5,710,601	Marshall et al.	1/20/98
5,752,160	Dunn	5/12/98
5,734,853	Hendricks et al.	3/31/98
5,793,412	Asamizuya	8/11/98
5,793,971	Fujita et al.	8/11/98
5,805,154	Brown	9/8/98
5,781,227	Goode et al.	7/14/98
5,557,338	Maze et al.	9/17/96
5,619,249	Billock et al.	4/8/97

FOREIGN PATENTS

0 624 039 A2	EPO	11/9/94
60-61935	Japan	9/4/85
WO 95/01058	PCT	1/5/95
WO 97/34414	PCT	9/18/97
WO 97/42763	PCT	11/13/97
WO 97/49242	PCT	12/24/97
WO 98/06219	PCT	2/12/98
WO 98/27723	PCT	6/25/98
WO 98/18260	PCT	4/30/98
WO 98/19459	PCT	5/7/98
WO 98/31115	PCT	7/16/98
WO 98/31116	PCT	7/16/98

OTHER PUBLICATIONS

"Addressable Converters: A New Development at CableData," *Via Cable*, Vol. 1, No. 12 (December 1981).

James Sorce et al., "Designing a Broadband Residential Entertainment Service: A Case Study," 13th International Symposium Human Factors in Telecommunications, Torino, Italy, September 10-14, 1990 pp. 141-148.

Vito Brugliera, "Digital On-Screen Display - A New Technology for the Consumer Interface," *Symposium Record Cable Sessions*, 18th International Television Symposium and Technoical Exhibition, Montreux, Switzerland 10-15 June 1993, pp. 571-586 (June 11, 1993).

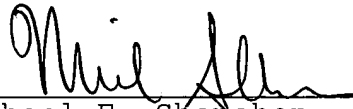
CableData brochure, "A New Approach To Addressability"
(undated).

Yee-Hsiang Chang et al., "An Open-Systems Approach to
Video on Demand," *IEEE Communications Magazine*, Vol.
32, pp. 68-80 (May 1994).

Matthew D. Miller, "A Scenario for the Deployment of
Interactive Multimedia Cable Television Systems in the
United States in the 1990's," *Proceedings of the IEEE*,
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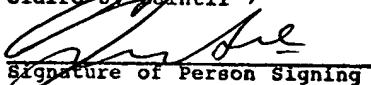
Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully submitted,



Michael E. Shanahan
Reg. No. 43,914
Agent for Applicant
c/o FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

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Correspondence is being
Deposited with the U.S.
Postal Service as First
Class Mail in an Envelope
Addressed to: ASSISTANT
COMMISSIONER FOR
PATENTS
WASHINGTON, D.C. 20231 on

May 20, 1997
Claire M. Scantlin

Signature of Person Signing

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UV-48	SERIAL NO. 09/262,870
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Michael D. Ellis	
		FILING DATE March 4, 1999	GROUP 2711



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,488,179	12/11/84	Krüger et al.	358	181	
	4,706,121	11/10/87	Young	358	142	
	4,751,578	6/14/88	Reiter et al.	358	183	RECEIVED
	4,787,063	11/22/88	Muguet	364	900	MAY 26 1999
	4,963,994	10/16/90	Levine	358	335	
	4,977,455	12/11/90	Young	358	142	Group 2700
	5,027,400	6/25/91	Baji et al.	380	20	
	5,038,211	8/6/91	Hallenbeck	358	142	
	5,047,867	9/10/91	Strubbe et al.	358	335	
	5,151,789	9/29/92	Young	358	194.1	
	5,231,493	7/27/93	Apitz	358	146	
	5,253,066	10/12/93	Vogel	358	188	
	5,299,006	3/29/94	Kim	348	571	
	5,353,121	10/4/94	Young et al.	348	563	
	5,412,720	5/2/95	Hoarty	380	15	
	5,465,385	11/7/95	Ohga et al.	455	6.1	
	5,479,268	12/26/95	Young et al.	358	335	
	5,502,504	3/26/96	Marshall et al.	348	565	
	5,559,549	9/24/96	Hendricks et al.	348	6	
	5,589,892	12/31/96	Knee et al.	348	731	
	5,594,509	1/14/97	Florin et al.	348	731	
	5,600,364	2/4/97	Hendricks et al.	348	1	
	5,600,573	2/4/97	Hendricks et al.	364	514	
	5,659,350	8/19/97	Hendricks et al.	348	6	
	5,682,195	10/28/97	Hendricks et al.	348	6	
	5,710,601	1/20/98	Marshall et al.	348	564	
	5,752,160	5/12/98	Dunn	455	5.1	
	5,734,853	3/31/98	Hendricks et al.	395	352	
	5,793,412	8/11/98	Asamizuya	348	7	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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	5,793,971	8/11/98	Fujita et al.	395	200.49	
	5,805,154	9/8/98	Brown	345	327	Group 2700
	5,619,249	4/8/97	Billock et al.	348	7	
	5,781,227	7/14/98	Goode et al.	348	7	
	5,557,338	9/17/96	Maze et al.	348	565	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 624 039 A2	11/9/94	EPO	H04N	7/173		
	60-61935	4/9/85	Japan	G11B	15/02		
	WO 95/01058	1/5/95	PCT	H04N	7/16		
	WO 97/34414	9/18/97	PCT	H04N	5/45		
	WO 97/42763	11/13/97	PCT	H04N	7/135		
	WO 97/49242	12/24/97	PCT	H04N	7/10		
	WO 98/06219	2/12/98	PCT	H04N	7/10		
	WO 98/27723	6/25/98	PCT	H04N	5/445		
	WO 98/18260	4/30/98	PCT	H04N	7/173		
	WO 98/19459	5/7/98	PCT	H04N	7/167		
	WO 98/31115	7/16/98	PCT	H04J			
	WO 98/31116	7/16/98	PCT	H04J			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	"Addressable Converters: A New Development at CableData," <i>Via Cable</i> , Vol. 1, No. 12 (December 1981).
	James Sorce et al., "Designing a Broadband Residential Entertainment Service: A Case Study," 13th International Symposium Human Factors in Telecommunications, Torino, Italy, September 10-14, 1990 pp. 141-148.
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